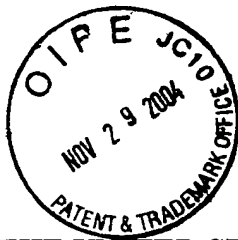


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Customer Number



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Patent

Case No.: 59416US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.
Application No.: 10/727072 Group Art Unit: 2879
Filed: December 2, 2003 Examiner: Unknown
Title: PHOSPHOR BASED LIGHT SOURCES HAVING A NON-PLANAR LONG PASS REFLECTOR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

November 24, 2004
Date

Andrea Newhouse
Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

24 Nov. 2004
Date

By: Stephen C. Jensen
Stephen C. Jensen, Reg. No.: 35,207
Telephone No.: (651) 736-3369

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 4

Application Number

10/762678

Filing Date

January 20, 2004

First Named Inventor

Ouderkirk, Andrew J.

Art Unit

2875

Examiner Name

Unknown

Attorney Case Number

59416US002

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| Exam. Init.* | Cite No. | Document Number | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

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|---|-----------------------------|-----------------------------|
| Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 4 | Application Number | 10/762678 |
| | Filing Date | January 20, 2004 |
| | First Named Inventor | Ouderkirk, Andrew J. |
| | Art Unit | 2875 |
| | Examiner Name | Unknown |
| | Attorney Case Number | 59416US002 |

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| | | Doc. Number-(Kind Code if Known) | | | |
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| *Examiner: | Date Considered: |
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| Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 3 of 4 | Application Number | 10/762678 |
| | Filing Date | January 20, 2004 |
| | First Named Inventor | Ouderkirk, Andrew J. |
| | Art Unit | 2875 |
| | Examiner Name | Unknown |
| | Attorney Case Number | 59416US002 |

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|-----------------------|----------|---|---|--|---|
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| | C1 | US Application No. 60/407,426, Soules et al., "Coated LED With Improved Efficiency," filed August 30, 2002. | |

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| *Examiner: | Date Considered: |
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|---|-----------------------------|-----------------------------|
| Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 4 of 4 | Application Number | 10/762678 |
| | Filing Date | January 20, 2004 |
| | First Named Inventor | Ouderkirk, Andrew J. |
| | Art Unit | 2875 |
| | Examiner Name | Unknown |
| | Attorney Case Number | 59416US002 |

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